1			
2	TI	HE HONORABLE RONALD B. LEIGHTON	
3			
4			
5			
6			
7			
8	UNITED STATES DISTRICT COURT		
9	WESTERN DISTRICT OF WASHINGTON		
10	AT TACOMA		
11			
12	SECURITIES AND EXCHANGE COMMISSION,	Case No. 3:10-cv-05557-RBL	
13	Plaintiff,	Cuse 110. 5.10 CV 05557 RBE	
14	VS.	FINAL JUDGMENT AS TO DEFENDANT KARLHEINZ REDEKOPP	
15	KARLHEINZ REDEKOPP,		
16	Defendant.		
17			
18	FINAL JUDGMENT AS TO DEFEN	DANT KARLHEINZ REDEKOPP	
19			
20	The Securities and Exchange Commission having filed a Complaint and Defendant Karlheinz		
21	Redekopp having entered a general appearance; consented to the Court's jurisdiction over Defendant		
22	and the subject matter of this action; consented to entry of this Final Judgment without admitting or		
23	denying the allegations of the Complaint (except as to jurisdiction); waived findings of fact and		
24	conclusions of law; and waived any right to appeal from this Final Judgment:		
25	I.		
26	IT IS HEREBY ORDERED, ADJUDGED AND DECREED that Defendant and Defendant's		
27	agents, servants, employees, attorneys, and all persons in active concert or participation with them		
28	who receive actual notice of this Final Judgment by p	personal service or otherwise are permanently	

1	restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities	
2	Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated	
3	thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, of	
4	of the mails, or of any facility of any national securities exchange, in connection with the purchase of	
5	sale of any security:	
6	(a) to employ any device, scheme, or artifice to defraud;	
7	(b) to make any untrue statement of a material fact or to omit to state a material fact	
8	necessary in order to make the statements made, in the light of the circumstances	
9	under which they were made, not misleading; or	
10	(c) to engage in any act, practice, or course of business which operates or would operate	
11	as a fraud or deceit upon any person.	
12	II.	
13	IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and	
14	Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation	
15	with them who receive actual notice of this Final Judgment by personal service or otherwise are	
16	permanently restrained and enjoined from violating Section 17(a) of the Securities Act of 1933 [15	
17	U.S.C. § 77q(a)] in the offer or sale of any security by the use of any means or instruments of	
18	transportation or communication in interstate commerce or by use of the mails, directly or indirectly:	
19	(a) to employ any device, scheme, or artifice to defraud;	
20	(b) to obtain money or property by means of any untrue statement of a material fact or any	
21	omission of a material fact necessary in order to make the statements made, in light of	
22	the circumstances under which they were made, not misleading; or	
23	(c) to engage in any transaction, practice, or course of business which operates or	
24	would operate as a fraud or deceit upon the purchaser.	
25	III.	
26	IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and	
27	Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or	

28

1	par
2	or
3	vio
4	or
5	rec
6	
7	
8	De
9	par
10	or
11	vio
12	be
13	U.S
14	
15	
16	De
17	par
18	or
19	vio
20	13a
21	ass
22	Ex
23	file

participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, are permanently enjoined and restrained from, directly or indirectly, violating Section 13(b)(5) of the Exchange Act, 15 U.S.C. § 78m(b)(5), by knowingly circumventing or failing to implement a system of internal accounting controls or knowingly falsifying any book, record, or account described in Section 13(b)(2) of the Exchange Act, 15 U.S.C. § 78m(b)(2).

IV.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, are permanently enjoined and restrained from, directly or indirectly, violating Rule 13b2-1 under the Exchange Act, 17 C.F.R. § 240.13b2-1, by falsifying or causing to be falsified any book, record or account subject to Section 13(b)(2)(A) of the Exchange Act, 15 U.S.C. § 78m(b)(2)(A).

V.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, are permanently enjoined and restrained from aiding and abetting any violation of Section 13(a) of the Exchange Act, 15 U.S.C. § 78m(a), and Rules 12b-20, 13a-1, and 13a-13, 17 C.F.R. §§ 240.12b-20, 240.13a-1 & 240.13a-13, by knowingly providing substantial assistance to an issuer which has a class of securities registered pursuant to Section 12 of the Exchange Act, 15 U.S.C. § 78*o*, in failing to file with the Commission such accurate and complete information, reports, and documents as are required to be filed with the Commission pursuant to Section 13(a) of the Exchange Act, 15 U.S.C. § 78m(a), and the Commission's Rules, including annual reports on Form 10-K, quarterly reports on Form 10-Q and current reports on Form 8-K.

27

26

24

25

28

VI.

2 | 3 | Do 4 | pa 5 | or 6 | of 7 | an 8 | U.

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

1

Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, are permanently enjoined and restrained from violating Rule 13a-14 of the Exchange Act, 17 C.F.R. § 240.13a-14, as a principal executive or principal financial officer of an issuer, or as a person performing similar functions, falsely certifying any report filed under 15 U.S.C. § 78m(a) (other than a report filed by an Asset-Backed Issuer defined in 17 C.F.R. § 229.1101, or a report on Form 20-F under 17 C.F.R. § 240.13a-19), including reports filed on Forms 10-Q, Forms 10-QSB, Forms 10-K, or Forms 10-KSB.

VII.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, are permanently enjoined and restrained from aiding and abetting any violation of Section 13(b)(2)(A) of the Exchange Act, 15 U.S.C. § 78m(b)(2)(A), by knowingly providing substantial assistance to any issuer which has a class of securities registered pursuant to Section 12 of the Exchange Act, 15 U.S.C. § 78*l*, or Section 15(d) of the Exchange Act, 15 U.S.C. § 78*o*, in failing to make or keep books, records or accounts, which, in reasonable detail, accurately and fairly reflect the transactions and dispositions of the assets of the issuer.

VIII.

IT IS FURTHER ORDERED, ADJUDGED AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys-in-fact, and all persons in active concert or participation with any of them, who receive actual notice of this Final Judgment, by personal service or otherwise, and each of them, are permanently enjoined and restrained from aiding and abetting any violation of Section 13(b)(2)(B) of the Exchange Act, 15 U.S.C. § 78m(b)(2)(B), by knowingly providing substantial assistance to any issuer which has a class of securities registered pursuant to

Τ	Section 12 of the Exchange Act, 15 U.S.C. § 78 <i>l</i> , or Section 15(d) of the Exchange Act, 15 U.S.C. §		
2	78 <i>o</i> , in failing to devise and maintain a system of internal accounting controls sufficient to provide		
3	reasonable assurance that transactions are recorded as necessary to permit preparation of financial		
4	statements in conformity with generally accepted accounting principles or other applicable criteria,		
5	and to maintain accountability for assets.		
6	IX.		
7	IT IS FURTHER ORDERED, ADJUDGED AND DECREED that, pursuant to Section		
8	21(d)(2) of the Exchange Act [15 U.S.C. § 78u(d)(2)], Defendant is prohibited, for five years		
9	following the date of entry of this Final Judgment, from acting as an officer or director of any issuer		
10	that has a class of securities registered pursuant to Section 12 of the Exchange Act [15 U.S.C. § 78/]		
11	or that is required to file reports pursuant to Section 15(d) of the Exchange Act [15 U.S.C. § 78o(d)].		
12	X.		
13	IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is		
14	incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall		
15	comply with all of the undertakings and agreements set forth therein.		
16	XI.		
17	IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain		
18	jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.		
19	XII.		
20	There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil		
21	Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.		
22			
23	Dated: July 26, 2011		
24	$\mathcal{O}_{\mathcal{O}}$		
25	RONALD B. LEIGHTON		
26	UNITED STATES DISTRICT JUDGE		
27			
28			

1	///	
2	Approved as to form:	
3	Approved as to form.	
4	/s/Mark V Praguell	
5	Mark K. Braswell Mark K. Braswell	
6	Mkbraswell.law@gmail.com Braswell Law Group	
7	Washington, D.C. 20009 Telephone: (202) 629-3416	
8	Facsimile: (202) 332-8337	
9	Attorney for Defendant KARLHEINZ REDEKOPP	
10	Submitted by:	
11	Submitted by:	
12		
13	Mark P. Fickes fickesm@sec.gov 44 Montgomery Street, Suite 2600	
14		
15	San Francisco, California 94104 Telephone: 415-705-2500	
16	Facsimile: 415-705-2501	
17	Attorney for Plaintiff	
18	SECURITIES AND EXCHANGE COMMISSION	
19		
20		
21		
22		
23		
24		
25		
26		
27		
28		

-6-